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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/836,850 | |
| | Filing Date | April 15, 2001 | |
| | First Named Inventor | Scot D. Miles, et al. | |
| | Group Art Unit | 3761 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | | Attorney Docket No. | 0905.ZEVX.CI |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Appeal Communication: <input type="checkbox"/> Appeal Notice <input type="checkbox"/> Appeal Brief <input type="checkbox"/> Reply Brief <input type="checkbox"/> Assignment with Cover Sheet <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Check in the amount of \$____ <input type="checkbox"/> Declaration Claiming Small Entity Status for: <input type="checkbox"/> Independent Inventor <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Non-Profit Organization <input type="checkbox"/> Declaration & Power of Attorney <input type="checkbox"/> Drawings ____ sheets <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Extension of Time Request ____ month <input type="checkbox"/> Fee Calculation Table <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> § 1.97 (1) <input type="checkbox"/> § 1.97 (2) <input type="checkbox"/> § 1.97 (3) <input checked="" type="checkbox"/> Form 1449 <input checked="" type="checkbox"/> Copies of IDS References <input type="checkbox"/> Issue Fee Transmittal & Advance Order | <input type="checkbox"/> Maintenance Fee Transmittal ____ year <input type="checkbox"/> Missing Parts Response <input type="checkbox"/> Notification of Change of Attorney Address & Docket Number <input checked="" type="checkbox"/> Return Postcard <input type="checkbox"/> Revocation & Power of Attorney <input type="checkbox"/> Status Inquiry <input type="checkbox"/> Other: |
| Remarks | | |

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| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT | | | |
|--|--|------|---------|
| Attorney for Applicant | Randall B. Bateman, Registration No. 37,774 Morriss, Bateman, O'Bryant & Compagni, P.C. 5882 South 900 East, Suite 300 Salt Lake City, Utah 84121 (801) 685-2302 telephone; (801) 685-2303 facsimile | | |
| Signature | | Date | 7-19-01 |
| CERTIFICATE OF MAILING UNDER 37 CFR § 1.8 | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, on the date indicated below, in an envelope addresses to Assistant Commissioner for Patents, Washington, D.C. 20231. | | | |
| Typed or Printed Name | Randall B. Bateman | | |
| Signature | | Date | 7-19-01 |



PATENT APPLICATION
DOCKET NO. 0905.ZEVX.CI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Scot D. Miles, et al.
SERIAL NO.: 09/836,850
FILED: April 15, 2001
FOR: APPARATUS AND METHOD
FOR PREVENTING FREE
FLOW IN AN INFUSION LINE
ART UNIT: 3761
EXAMINER:
DOCKET NO.: 0905.ZEVX.CI

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

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Randall B. Bateman

7-19-01
Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 in an international application or before the mailing date of a first Office action on the merits and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior

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Assistant Commissioner for Patents
July 19, 2001
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art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

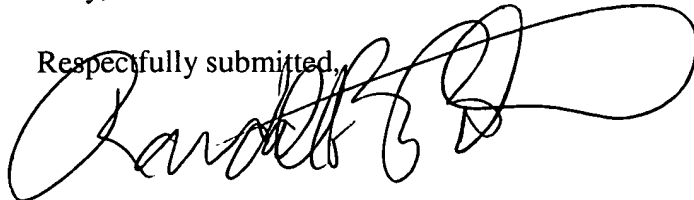
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 19 day of July, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Randall B. Bateman", written over a horizontal line.

Randall B. Bateman
Attorney for Applicant
Registration No. 37,774

Assistant Commissioner for Patents

July 19, 2001

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PTO-1449

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LIST OF PRIOR ART CITED BY APPLICANT

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Sheet 1 of 2

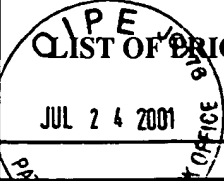
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| Filing Date | April 15, 2001 |
| First Named Inventor | Scott D. Miles et al. |
| Group Art Unit | 3761 |
| Examiner Name | |
| Attorney Docket | 0905.ZEVX.CI |

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|-----------------|-----------------|--------------------|-------|----------|----------------------------|
| | AA | 5,810,323 | Sept. 22, 1998 | Winterer et al. | | | |
| | AB | 5,704,584 | Jan. 6, 1998 | Winterer et al. | | | |
| | AC | 5,257,978 | Nov. 2, 1993 | Haber et al. | | | |
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| | AG | 4,960,259 | Oct. 2, 1990 | Sunnanvader et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|--|--|-----------------|------|---------|-------|----------|-----------------------|
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| PTO-1449 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | Application Number | 09/836,850 |
|  | | | | Filing Date | April 15, 2001 |
| | | | | First Named Inventor | Scott D. Miles et al. |
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| | | | | Examiner Name | |
| Sheet 2 of 2 | | | | Attorney Docket | 0905.ZEVX.CI |

| | | | | | | | |
|--|----|-----------|---------------|----------|--|--|--|
| | AW | 0483794A1 | 6 May 1992 | EPO | | | |
| | AX | 0423978A2 | 24 April 1991 | EPO | | | |
| | AX | 0150666A1 | 14 Sept 1984 | European | | | |

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

| | | | |
|----------|----|-----------------|--|
| | AY | | |
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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